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Investigating receptor enzyme activity using time scale analysis

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8 Abstract

9 At early drug discovery, purified protein-based assays are often used to characterize compound potency. In the context of dose response, it is often 10 perceived that a time-independent inhibitor is reversible and a time-dependent 11 inhibitor is irreversible. The legitimacy of this argument is investigated using a 12 simple kinetics model, where it is revealed by model-based analytical analysis 13 14 and numerical studies that dose response of an irreversible inhibitor may appear 15 time-independent under certain parametric conditions. Hence, the observation of time-independence cannot be used as sole evidence for identification of inhibitor 16 17 reversibility. It has also been discussed how the synthesis and degradation of a 18 target receptor affect drug inhibition in an *in vitro* cell-based assay setting. These 19 processes may also influence dose response of an irreversible inhibitor in such a way that it appears time-independent under certain conditions. Furthermore, 20 21 model-based steady-state analysis reveals the complexity nature of the drug-22 receptor process.

23

24 Keywords

Inhibitor reversibility; receptor turnover; mathematical modelling; dose
response; time-scale analysis

27

29 **1 Introduction**

30 Drug discovery and development typically involve protein-based studies (e.g. target engagement; typical time scale: microseconds to minutes), in vitro cell-based studies (e.g. 31 biomarker pharmacodynamics (PD), therapeutic efficacy; typical time scale: minutes to days), 32 in vivo animal-based studies (e.g. pharmacokinetics (PK), biomarker PD, therapeutic efficacy, 33 34 safety evaluation; typical time scale: hours to days) and clinical trials (e.g. PK, PD, safety, efficacy; typical time scale: days to months). These studies are often organized in this 35 particular temporal order, in the hope that the results of a previous step (e.g. protein-based 36 assay) will help inform the design and interpretation of the subsequent experiment (e.g. in 37 vitro cell assay). 38

A new paradigm that helps enable robust translation of each type of study arises in recent years [1], in which mathematical models and model-based systems analysis have played increasingly important roles. Model development of drug processes using experimental data has been largely improved through various efforts including sensitivity analysis, parameter identifiability analysis, model approximation and simplification, model validation and comparison, etc. [2-5].

Known as Quantitative & Systems Pharmacology (QSP), it employs multi-scale modelling approaches to integrate data generated from different studies in a drug discovery and development programme, which span different temporal and dimensional scales [6-8]. These computational models are able to reconcile different experimental conditions (e.g. *in vitro* cell assays and *in vivo* animal models [9]), with an ultimate aim of bridging preclinical models to an appropriate clinical setting, and generating statistically robust predictions that are validated by preclinical and clinical data [10].

Multi-scale modelling has been successfully deployed in drug development programmes, so that *in vitro* cell-based studies are consistently integrated with *in vivo* animal-based studies. However, the application of QSP approaches in early drug discovery (i.e. integration of results from protein-based studies and *in vitro* cell-based studies) has been relatively limited [11]. QSP models are urgently needed to better understand target engagement in cell-free environment and in cells, so as to help design of subsequent *in vitro* and *in vivo* studies [1].

It is often important to establish dose–response relationship specific for an inhibitor and a cell type under investigation, which describes the change in effect on a cell caused by differing levels of exposure (or doses) to an inhibitor after a certain exposure time.

61 To help translate *in vitro* results into *in vivo* knowledge, models of Target Mediated Drug Disposition (TMDD) have been developed to analyse receptor PK/PD relationships [2-5; 7; 8; 62 12-15]. In addition to drug binding and receptor turnover, these models also consider the 63 elimination of all species, to mimic in vivo conditions. They can be served as a useful 64 theoretical framework. Model-based analysis revealed that the necessary and sufficient 65 condition for receptor rebound in a single dose animal experiment is that elimination rate of 66 the drug-receptor product being slower than the elimination rates of the drug and of the 67 receptor [12]. Under the assumption of a constant target pool, the characteristic features of 68 69 TMDD dynamics were studied through a mathematical model analysis [13]. A time-scale analysis was performed to provide accurate approximations of the temporal evolution under 70 the assumption of high drug binding affinity [14]. 71

72 Although TMDD models have been used increasingly to facilitate PK/PD studies, cellular 73 kinetics may sometimes not be fully appreciated in design of protein-based assays. For 74 instance, the potency of a chemical entity to inhibit an enzyme is often characterized by IC_{50} , 75 the chemical concentration that generates half of maximal inhibition. For an irreversible inhibitor that covalently modifies a purified target enzyme in a cell-free assay, the chemical 76 77 reaction tends to be more complete given a longer drug incubation period. Consequently, IC_{50} 78 usually exhibits incubation time-dependent shift, making the inhibitor appear more potent at long incubation periods [16-18]. In contrast, a target protein in a living cell undergoes 79 turnover (i.e. synthesis and degradation) that are often regulated via transcriptional regulation, 80 81 translational control [19] and cell signalling etc. These processes typically happen within 82 minutes to hours [20], and they may influence cellular response to drug inhibition. In other 83 words, shooting a moving target in a cell might be different from shooting an immobile target in a protein-based assay. 84

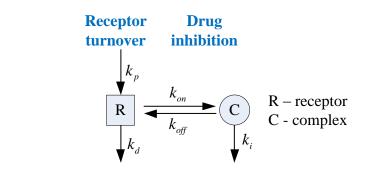
The aim of this study is to investigate how drug parameters and cell parameters influence cellular response to drug treatment at constant drug concentration. We are interested in understanding whether an irreversible inhibitor necessarily has an incubation time-dependent IC_{50} in a protein-based study. In addition, we hope to examine how cell parameters including target synthesis and degradation rates affect dose response.

The remaining of the paper is organized as follows. A linear model of receptor turnover and irreversible inhibition is proposed and discussed in Section 2. Investigation of fast drug process relative to receptor turnover is discussed in Section 3, where both numerical simulation and ensuing analysis of the eigenvalues are employed. Discussions on slow drug

process relative to receptor turnover are presented in Section 4. In Section 5, an application of
this model is attempted using aberrant activity in Epidermal Growth Factor Receptor (EGFR)
signaling data. Conclusions are given in Section 6.

97 2 A model of receptor turnover and drug inhibition

A simple model is proposed to recapitulate the process of receptor turnover, i.e. receptor
 synthesis and degradation, together with drug inhibition as shown schematically in Fig. 1.



100

Fig. 1 Schematic description of receptor turnover and irreversible inhibition 101 102 In the receptor turnover process, receptor R is synthesized at a constant rate k_p , and degrades 103 following a first-order kinetics with a rate constant k_d . For the sake of simplicity, feedback 104 mechanisms and subcellular localisation that regulate protein synthesis and stability are not 105 considered in this model. In the drug inhibition process, a drug molecule first binds R 106 reversibly to comprise an intermediate complex C with association and dissociation rates k_{on} 107 and k_{off} , respectively. Note that k_{on} is an apparent rate that depends on drug concentration. 108 The complex C then forms a covalent bound irreversibly at the second step, in a first-order 109 reaction with a rate constant k_i . These two processes can be described respectively as follows.

110 Receptor turnover:
$$\xrightarrow{k_p} \mathbf{R} \xrightarrow{k_d}$$
 (1)

111 Drug inhibition:
$$R \xleftarrow{k_{on}}{k_{off}} C \xrightarrow{k_i}$$
 (2)

Based on mass-balance principles, the corresponding ordinary differential equations (ODEs)for concentrations of R and C, denoted as *R* and *C*, respectively, are written as

114
$$\frac{\mathrm{d}R}{\mathrm{d}t} = -(k_d + k_{on})R + k_p + k_{off}C \tag{3}$$

115
$$\frac{\mathrm{d}C}{\mathrm{d}t} = k_{on}R - \left(k_{off} + k_i\right)C \tag{4}$$

116 with the following units: nM for *R*, *C*; nM·min⁻¹ for k_p ; and min⁻¹ for k_d , k_{on} , k_{off} and k_i . 117 Here k_p and k_d are cell parameters associated to receptor turnover; k_{on} , k_{off} and k_i are drug 118 parameters for covalent inhibition process.

In the absence of drug, the receptor has a steady state at $R_0 = k_p/k_d$ nM. Scaling *R* and *C* with R_0 in (3) and (4), the two concentration variables become dimensionless terms $r = R/R_0 = Rk_d/k_p$ and $c = C/R_0 = Ck_d/k_p$, respectively, and the ODE model can then be written as

123
$$\frac{\mathrm{d}r}{\mathrm{d}t} = -(k_d + k_{on})r + k_d + k_{off}c \tag{5}$$

124
$$\frac{\mathrm{d}c}{\mathrm{d}t} = k_{on}r - \left(k_{off} + k_i\right)c \tag{6}$$

In this dimension-free representation, the initial conditions are set to be $r_0 = r(0) = 1$ and $c_0 = c(0) = 0$. We further use k_{off} to scale the time term by $\tau = k_{off}t$, and also to scale reaction rates with $\kappa_{on} = k_{on}/k_{off}$, $\kappa_i = k_i/k_{off}$, and $\kappa_d = k_d/k_{off}$. This brings the following two ODEs for dimensionless *r* and *c*, respectively:

129
$$\frac{\mathrm{d}r}{\mathrm{d}\tau} = -(\kappa_{on} + \kappa_d)r + c + \kappa_d \tag{7}$$

130
$$\frac{\mathrm{d}c}{\mathrm{d}\tau} = \kappa_{on}r - (1 + \kappa_i)c \tag{8}$$

131 Denoting $\mathbf{X} = \begin{bmatrix} r & c \end{bmatrix}^{\mathrm{T}}$, this ODE model can be written in a matrix format

132
$$\dot{\mathbf{X}} = \begin{bmatrix} \dot{r} \\ \dot{c} \end{bmatrix} = \begin{bmatrix} \frac{\mathrm{d} r}{\mathrm{d} \tau} \\ \frac{\mathrm{d} c}{\mathrm{d} \tau} \end{bmatrix} = \begin{bmatrix} -(\kappa_{on} + \kappa_{d}) & 1 \\ \kappa_{on} & -(1 + \kappa_{i}) \end{bmatrix} \begin{bmatrix} r \\ c \end{bmatrix} + \begin{bmatrix} \kappa_{d} \\ 0 \end{bmatrix}$$
(9)
$$= \mathbf{A}\mathbf{X} + \mathbf{f} \quad \text{with} \quad \mathbf{X}(0) = \mathbf{X}_{0}$$

133 where $\mathbf{A} = \begin{bmatrix} -(\kappa_{on} + \kappa_d) & 1 \\ \kappa_{on} & -(1 + \kappa_i) \end{bmatrix}$ is the state matrix for this linear-time-invariant (LTI)

134 system; $\mathbf{f} = \begin{bmatrix} \kappa_d & 0 \end{bmatrix}^T$ is the nonhomogeneous part; $\mathbf{X}_0 = \begin{bmatrix} 1 & 0 \end{bmatrix}^T$ is the vector of initial states 135 for **X**.

136 At the steady state when $dr/d\tau = 0$ and $dc/d\tau = 0$, the steady-state values for *r* and *c* are 137 derived from (9) to give

138
$$r_{ss} = \frac{(1+\kappa_i)\kappa_d}{(1+\kappa_i)\kappa_d + \kappa_{on}\kappa_i}$$
(10)

139
$$c_{ss} = \frac{\kappa_{on}\kappa_d}{(1+\kappa_i)\kappa_d + \kappa_{on}\kappa_i}$$
(11)

140 Here r_{ss} and c_{ss} are used to denote steady-state values or equilibrium points for r and c, 141 respectively, when time approaches infinity.

Note after the above re-scaling, all terms in (9) are dimensionless including concentration variables *r* and *c*; time τ ; and parameters κ_{on} , κ_i , and κ_d . The 'disappeared' receptor synthesis rate k_p is included in κ_d through scaling of $\kappa_d = k_d/k_{off} = k_p/(k_{off}R_0)$. Clearly this choice of non-dimensionalization requires that $k_{off} \neq 0$ and $k_p \neq 0$. All variables and parameters in (9) are associated with physical quantities and therefore must be nonnegative. With this dimensionless model, the analysis of system behaviour under different parametric regimes can be conveniently discussed in a unified scheme.

149 **3 Fast drug process relative to receptor turnover**

150 The parametric regimes have been divided into that of fast drug process and slow drug 151 process. In this section, the process of fast drug binding and dissociation is firstly discussed.

152 *3.1 Fast drug binding and dissociation relative to receptor turnover*

153 This parametric regime is defined by $k_{off} \gg k_d$ and $k_{on} \gg k_d$. In this case, the receptor 154 turnover rate k_d is much smaller than the drug binding and dissociation rates k_{on} and k_{off} .

- 155 (a) When $k_{off} \gg k_d$, i.e., $\kappa_d \ll 1$, the period of target coverage (characterized by $1/k_{off}$) 156 is much shorter than that of receptor degradation (characterized by $1/k_d$), which can 157 be due to: i) short target coverage; ii) slow receptor degradation; and iii) combination 158 of i) and ii).
- 159 (b) When $k_{on} \gg k_d$, i.e., $\kappa_{on} \gg \kappa_d$, a receptor binds a drug molecule at a rate much faster 160 than its degradation.

161 Under these two conditions, the term of κ_d can be ignored, and model (9) is approximated by

162
$$\begin{bmatrix} \dot{r} \\ \dot{c} \end{bmatrix} = \begin{bmatrix} -\kappa_{on} & 1 \\ \kappa_{on} & -(1+\kappa_i) \end{bmatrix} \begin{bmatrix} r \\ c \end{bmatrix}$$
(12)

Model (12) is actually an ODE model for the cell-free assay with only the drug process in (2)considered.

165 When $\kappa_i \neq 0$, by taking $dr/d\tau = 0$ and $dc/d\tau = 0$, the steady-state of dynamic system 166 (12) is deduced to be

167
$$r_{ss} = c_{ss} = 0$$
 (13)

How small does k_d have to be in comparison to k_{off} and k_{on} so as to ensure the validity 168 of this approximation? This is examined by the following numerical simulation. Firstly, the 169 full model in (9) is simulated with $\kappa_i = 0.001$ ($k_{off} \gg k_i$) at three different levels of κ_d : 170 $\kappa_d = 10^{-4}$ (Fig. 2 (a)); $\kappa_d = 10^{-6}$ (Fig. 2 (b)); and $\kappa_d = 10^{-8}$ (Fig. 2 (c)). Then the full model is 171 simulated by taking $\kappa_d = 0$, which is equivalent to the reduced model in (12), using identical 172 value for κ_i , as shown in Fig. 2 (d). The range of κ_{on} is set to be [1e-5, 1e5] in all 173 simulations. Four incubation time periods are chosen which are separated with an order of 3 174 in time scale between each two, i.e., 10^{-3} , 1, 10^{3} and 10^{6} . Comparing simulation results across 175 the four panels in the semi-log Fig. 2, it can be observed that there is a clear difference in 176 dose response in both Fig. 2 (a) and Fig. 2 (b) when compared with the simplified model 177 results in Fig. 2 (d), but the dose response in Fig. 2 (c) is almost the same as that in Fig. 2 (d). 178 This shows that, when $\kappa_d \leq 10^{-8}$, model (12) provides a close approximation for dose 179 responses corresponding to incubation time up to 10^6 . 180

181 In all simulation and illustrative results in this paper, the time terms are represented in τ 182 (time *t* scaled by k_{off}), and the x-axis for κ_{on} is in \log_{10} scale in dose-response curves.

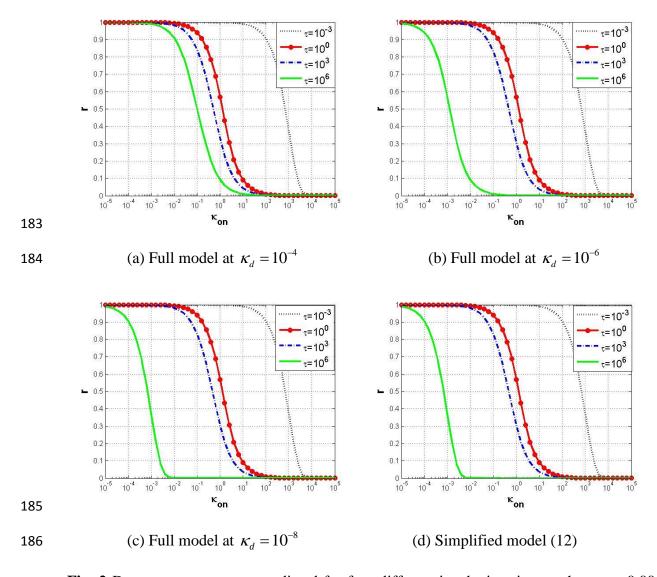


Fig. 2 Dose response curves predicted for four different incubation times, when $\kappa_i = 0.001$. Incubation times shown in the figure legend: black dotted line for 10^{-3} ; red line with circles for 10^{0} ; blue dash-dot line for 10^{3} ; green solid line for 10^{6} . (a) Full model (9) simulated at $\kappa_d = 10^{-4}$; (b) Full model simulated at $\kappa_d = 10^{-6}$; (c) Full model simulated at $\kappa_d = 10^{-8}$; (d) Approximate model in (12).

192 The approximate model in (12) represents a homogeneous LTI system with 193 $\mathbf{A} = \begin{bmatrix} -\kappa_{on} & 1 \\ \kappa_{on} & -(1+\kappa_i) \end{bmatrix}$. We can use the eigenvalue method to analyse its dynamic 194 characteristics. Denoting the trace and determinant of matrix \mathbf{A} as 195 $T = \operatorname{trace}(\mathbf{A}) = -(1 + \kappa_{on} + \kappa_i), \ \Delta = \det(\mathbf{A}) = \kappa_{on} \cdot \kappa_i$, the eigenvalues of **A** are calculated by

196
$$\lambda_{1,2} = \left(T \mp \sqrt{T^2 - 4\Delta}\right) / 2$$
.

197 For the first eigenvalue

198
$$\lambda_{1} = -\frac{1}{2} \left(1 + \kappa_{on} + \kappa_{i} \right) - \frac{1}{2} \sqrt{\left(1 + \kappa_{on} + \kappa_{i} \right)^{2} - 4\kappa_{on}\kappa_{i}}, \qquad (14)$$

199 its associated eigenvector is

200
$$V_1 = \begin{bmatrix} v_{11} & v_{21} \end{bmatrix}^T = \begin{bmatrix} \frac{1 + \kappa_i - \kappa_{on} - \sqrt{\left(1 + \kappa_{on} + \kappa_i\right)^2 - 4\kappa_{on}\kappa_i}}{2\kappa_{on}} & 1 \end{bmatrix}^T.$$
 (15)

201 For the second eigenvalue

202
$$\lambda_2 = -\frac{1}{2} \left(1 + \kappa_{on} + \kappa_i \right) + \frac{1}{2} \sqrt{\left(1 + \kappa_{on} + \kappa_i \right)^2 - 4\kappa_{on} \kappa_i}, \tag{16}$$

203 its associated eigenvector is

204
$$V_{2} = \begin{bmatrix} v_{12} & v_{22} \end{bmatrix}^{\mathrm{T}} = \begin{bmatrix} \frac{1 + \kappa_{i} - \kappa_{on} + \sqrt{\left(1 + \kappa_{on} + \kappa_{i}\right)^{2} - 4\kappa_{on}\kappa_{i}}}{2\kappa_{on}} & 1 \end{bmatrix}^{\mathrm{T}}.$$
 (17)

With initial conditions $r_0 = 1$ and $c_0 = 0$, a general analytical solution for (12) can be succinctly written as

207
$$\mathbf{M}(\tau) = \begin{bmatrix} r(\tau) \\ c(\tau) \end{bmatrix} = \frac{1}{\nu_{11} - \nu_{12}} \begin{bmatrix} \nu_{11} e^{\lambda_1 \tau} - \nu_{12} e^{\lambda_2 \tau} \\ e^{\lambda_1 \tau} - e^{\lambda_2 \tau} \end{bmatrix}$$
(18)

where all terms regarding eigenvalues and entries in eigenvectors are provided in (14) - (17).

The log₁₀ transformed ratio of the two eigenvalues for different pairs of (κ_{on} , κ_i) is plotted in a heat map as shown in Fig. 3. From this diagram it is evident that when the two parameters have similar values and are both above 1, the two eigenvalues λ_1 and λ_2 are close to each other (the red area in Fig. 3). However, if only one parameter is much larger than 1 or both parameters are much smaller than 1, then the two eigenvalues are widely apart from each other, i.e. $\lambda_2 / \lambda_1 \ll 1$ (the blue area in Fig. 3), and the time response of the system is mainly determined by $|\lambda_1|$ in a shorter period.

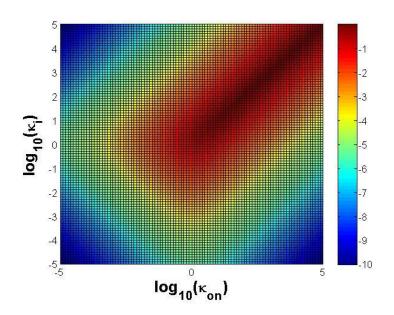
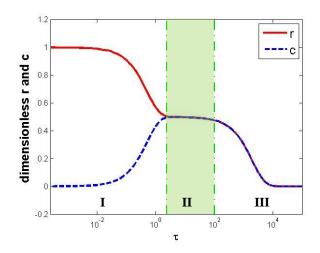


Fig. 3 $\log_{10}(\lambda_2 / \lambda_1)$ plotted as a function of $\log_{10}(\kappa_{on})$ and $\log_{10}(\kappa_i)$. Values between -10 and 0 are colour-coded.



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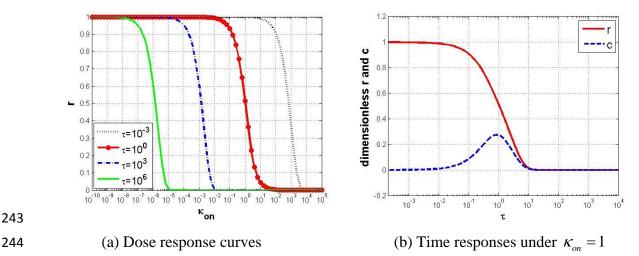
Fig. 4 Time responses of *r* and *c* under $\kappa_{on} = 1$, $\kappa_i = 0.001$ and $\kappa_d = 0$.

For example, when $\kappa_{on} = 1$, $\kappa_i = 0.001$, from (14) to (17), the eigenvalues and 221 eigenvectors can be calculated as: $\lambda_1 = -2.005$, $\lambda_2 = -5 \times 10^{-4}$, $v_1 = \begin{bmatrix} -0.7069 & 0.7069 \end{bmatrix}^T$ and 222 $v_2 = \begin{bmatrix} -0.7073 & -0.7069 \end{bmatrix}^T$. The short-term time response is driven by $|\lambda_1|$ (see region I in Fig. 223 4), and the long-term time response is driven by $|\lambda_2|$ (see region III in Fig. 4). Interestingly, 224 between these two regions, both r and c have relatively small variations (see region II in Fig. 225 226 4). Hence, corresponding dose responses simulated for observation times in this shadowed region would appear to be similar using experimental data. This time-independent 227 observation may suggest a reversible inhibition, which is not true from the above analysis. 228

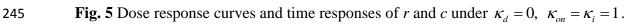
3.2 Fast drug binding/dissociation and fast covalent modification 229

230 The parametric regime for this scenario is classified by: $\kappa_{on} \gg \kappa_d$, $\kappa_{off} \gg \kappa_d$, and 231 $\kappa_i \approx \kappa_{on}$, therefore $\kappa_i \gg \kappa_d$. In this case, both reversible binding/dissociation and irreversible 232 modification are much faster than receptor turnover. The system can also be modelled by (12). 233 It can be seen from the heat map in Fig. 3 that the two eigenvalues are close to each other in 234 this region, which means the two inherent time scales are not far away from each other. For 235 the simulations demonstrated in Fig. 5, the two eigenvalues are $\lambda_1 = -2.618$ and $\lambda_2 = -0.382$, 236 calculated from (14) and (16), respectively. In this case, the dose response curves measured at 237 different incubation times are predicted to be clearly separated from each other (Fig. 5 (a)).

238 The concentrations of R and C reach steady states with both values at 0 (Fig. 5 (b)), which 239 is consistent with the steady-state analysis conclusion given in (13). Similar to the simulation 240 results shown in Fig. 4, Fig. 5 (b) also demonstrates that the receptor concentration decreases 241 monotonically to its steady state, but the complex concentration goes through a rapid increase 242 initially and then decreases in a slower time scale to its steady state.



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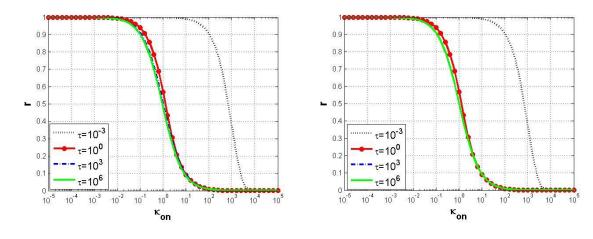
3.3 Fast drug binding/dissociation and slow covalent modification 246

Under the condition of fast drug process over receptor turnover $(k_{off} \gg k_d \text{ and } k_{on} \gg k_d)$, 247 we further consider the regime of $k_{off} \gg k_i$, i.e., $\kappa_i \ll 1$. This means the drug dissociation is 248 much faster than the covalent modification. It corresponds to the region in lower part of the 249 heat map in Fig. 3. This condition is satisfied if i) an irreversible inhibitor has to overcome a 250

relatively large energy barrier to covalently modify the receptor; ii) drug dissociation is rapid; iii) a combination of both. Within the parametric region of $\kappa_{on} \gg \kappa_d$, $\kappa_d \ll 1$ and $\kappa_i \ll 1$, model (12) can be further reduced to

254
$$\begin{bmatrix} \dot{r} \\ \dot{c} \end{bmatrix} = \begin{bmatrix} -\kappa_{on} & 1 \\ \kappa_{on} & -1 \end{bmatrix} \begin{bmatrix} r \\ c \end{bmatrix}$$
(19)

Model (19) is a description for protein-based assay when reversible inhibitor is applied 255 while the covalent modification is negligible. In order to determine how small κ_i should be 256 so that the simplified model in (19) can be applied, simulations are conducted using the full 257 model under $\kappa_d = 10^{-8}$, and reduce κ_i gradually to search for the threshold level that will 258 produce a response close to the simplified model response. Fig. 6 shows that when κ_i is 259 reduced to 1×10^{-7} , the full-model response is very close to that of the simplified model (19). 260 This suggests that when $\kappa_i \leq 10^{-7}$, the simplified model in (19) can be used to approximate 261 model (12) with a good accuracy. 262





(a) Full model at $\kappa_d = 1 \times 10^{-8}$, $\kappa_i = 1 \times 10^{-7}$

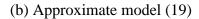


Fig. 6 Dose response curves predicted for different incubation times in τ : black dotted line for 10⁻³; red line with circles for 10⁰; blue dash-dot line for 10³; green solid line for 10⁶. (a) Full model (9) simulated at $\kappa_d = 1 \times 10^{-8}$, $\kappa_i = 1 \times 10^{-7}$; (b) Approximate model in (19).

268 In this case,
$$\frac{\mathrm{d}r}{\mathrm{d}\tau} = -\frac{\mathrm{d}c}{\mathrm{d}\tau}$$
, $T = \operatorname{trace}(\mathbf{A}) = -(1+\kappa_{on})$, $\Delta = \det(\mathbf{A}) = 0$, $\lambda_1 = T = -(\kappa_{on}+1)$ and

269 $\lambda_2 = 0$. Under the given initial conditions, the time responses of the two dimensionless 270 concentration terms can be solved explicitly to yield

$$r(\tau) = \frac{1}{1 + \kappa_{on}} \left(1 + \kappa_{on} e^{-(1 + \kappa_{on})\tau} \right)$$

$$c(\tau) = \frac{\kappa_{on}}{1 + \kappa_{on}} \left(1 - e^{-(1 + \kappa_{on})\tau} \right)$$
(20)

The time scale of this dynamic system is determined by $|\lambda_1|$ or by κ_{on} . The larger is κ_{on} , the faster response the system has, and vice versa. The time responses of r and c under different levels of κ_{on} are illustrated in Fig. 7.

With model (19), the steady state is not determined by (13) since κ_i is taken to be zero. In fact, the equilibrium points for system (19) can be derived from (20) to give

277
$$r_{ss} = \lim_{\tau \to \infty} \frac{1}{1 + \kappa_{on}} \left(1 + \kappa_{on} e^{-(1 + \kappa_{on})\tau} \right) = \frac{1}{1 + \kappa_{on}}$$

$$c_{ss} = \lim_{\tau \to \infty} \frac{\kappa_{on}}{1 + \kappa_{on}} \left(1 - e^{-(1 + \kappa_{on})\tau} \right) = \frac{\kappa_{on}}{1 + \kappa_{on}}$$
(21)

It can be concluded that $r_{ss} + c_{ss} = 1$ at the steady state. The larger is κ_{on} , the smaller is r_{ss} and the larger is c_{ss} . This can be clearly seen in the dynamic simulation results shown in Fig. 7.

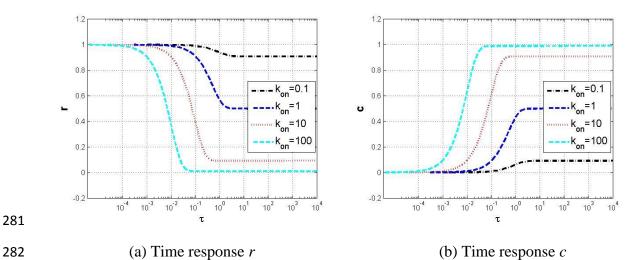


Fig. 7 Time responses of *r* and *c* with approximate model (19) under different levels of κ_{on} . For incubation time $\tau_m \gg 1/(1+\kappa_{on})$, *r* is close to its steady state (see simulation for each κ_{on} in Fig. 7). Hence, dose response measurements taken beyond this point would appear time-invariant.

In summary, our analysis of fast drug process suggests for dose response to appear time-287 invariant, the following two requirements need to be satisfied. Firstly, the apparent first-order 288 rate κ_{on} and the first-order covalent bond formation rate κ_i need to be largely different so 289 that the two time scales characterized by $1/|\lambda_1|$ and $1/|\lambda_2|$ are well separated from each other. 290 Secondly, observation time has to be between the two time scales, which corresponds to 291 region II in Fig. 4. It can also be observed from dynamic study that the receptor concentration 292 always decreases monotonically to a steady-state level of zero for the fast drug process, while 293 the concentration of complex C increases rapidly first and then decreases gradually to zero 294 except for the case when covalent modification to complex C is negligible, i.e. $\kappa_i = 0$. 295

296 4 Slow drug process relative to receptor turnover

In the parametric regime where $k_{off} \approx k_d$ or $k_{off} \ll k_d$, i.e. $\kappa_d \approx 1$ or $\kappa_d \gg 1$, target coverage duration is comparable to or longer than the receptor life time. This can happen due to: i) long period of target coverage; ii) fast receptor degradation; and iii) combination of both. This might be biologically relevant when receptor homeostasis is tightly regulated at the turnover level. The full model in (9) is used in this regime.

Again the eigenvalue method can be used to analyze the system dynamics. The homogeneous part of (9) is $\dot{\mathbf{X}} = \mathbf{A}\mathbf{X}$. The trace of \mathbf{A} is $T = \text{trace}(\mathbf{A}) = -(1 + \kappa_{on} + \kappa_{d} + \kappa_{i})$, the determinant of \mathbf{A} is $\Delta = \det(\mathbf{A}) = \kappa_{d} + \kappa_{on}\kappa_{i} + \kappa_{d}\kappa_{i}$. The two eigenvalues are $\lambda_{1,2} = \frac{1}{2}(T \mp \sqrt{T^{2} - 4\Delta})$.

306 For $\lambda_1 = \frac{1}{2} \left(T - \sqrt{T^2 - 4\Delta} \right)$, the associated eigenvector is

307
$$V_1 = \begin{bmatrix} v_{11} & v_{21} \end{bmatrix}^{\mathrm{T}} = \begin{bmatrix} \frac{1 + \kappa_i - (\kappa_{on} + \kappa_d) - \sqrt{(1 + \kappa_i - \kappa_{on} - \kappa_d)^2 + 4\kappa_{on}}}{2\kappa_{on}} & 1 \end{bmatrix}^{\mathrm{T}}.$$

308 For $\lambda_2 = \frac{1}{2} \left(T + \sqrt{T^2 - 4\Delta} \right)$, the associated eigenvector is

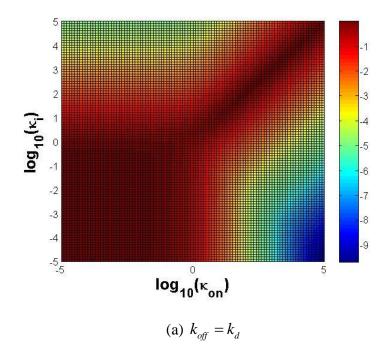
309
$$v_2 = \begin{bmatrix} v_{12} & v_{22} \end{bmatrix}^{\mathrm{T}} = \begin{bmatrix} \frac{1 + \kappa_i - (\kappa_{on} + \kappa_d) + \sqrt{(1 + \kappa_i - \kappa_{on} - \kappa_d)^2 + 4\kappa_{on}}}{2\kappa_{on}} & 1 \end{bmatrix}^{\mathrm{T}}$$

Under the initial condition of $\mathbf{X}_0 = \begin{bmatrix} 1 & 0 \end{bmatrix}^T$, the general solution to the homogeneous part can be written as $\mathbf{M}(\tau)$ in (18). Taking the non-homogeneous part $\mathbf{f} = \begin{bmatrix} \kappa_d & 0 \end{bmatrix}^T$ into account, the general solution to (9) is written as follows

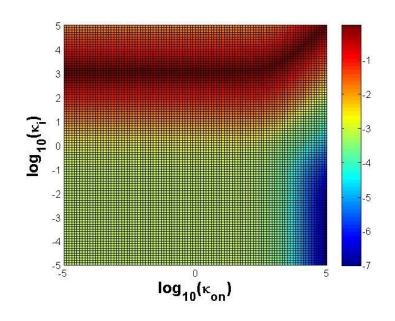
313
$$\begin{bmatrix} r(\tau) & c(\tau) \end{bmatrix}^{\mathrm{T}} = \mathbf{M}(\tau)\mathbf{M}(0)^{-1}\mathbf{X}_{0} + \mathbf{M}(\tau)\int_{0}^{\tau}\mathbf{M}(t)^{-1}\mathbf{f}(t)\,\mathrm{d}\,t$$
(22)

The steady-state values of r and c can be obtained through numerical integration with (22), or calculated explicitly by (10) and (11).

Similar to the heat map in Fig. 3, we first plot $\log_{10}(\lambda_2 / \lambda_1)$ as a function of κ_{on} and κ_i in \log_{10} scales (Fig. 8). Taking $k_{off} = k_d$, i.e. $\kappa_d = 1$ (Fig. 8 (a)), separation of time scales happens if either $k_{on} \gg k_{off}$ and $k_i \ll k_{off}$ (blue region in Fig. 8 (a)), or $k_{on} \ll k_{off}$ and $k_i \gg k_{off}$ (light green region in Fig. 8 (a)), with the former leads to more pronounced effects. In contrast, in the case of $k_{off} = 0.001k_d$, i.e. $\kappa_d = 1000$ (Fig. 8 (b)), separation of time scales takes place if $k_i \ll k_{off}$ (bottom part in Fig. 8 (b)), and the condition of $k_{on} \gg k_{off}$ makes the separation more pronounced.



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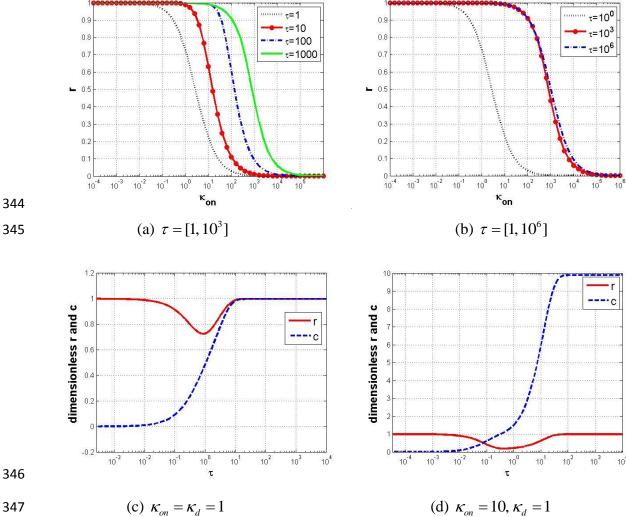
(b) $k_{off} = 0.001 k_d$

Fig. 8 $\log_{10}(\lambda_2 / \lambda_1)$ plotted as a function of $\log_{10}(\kappa_{on})$ and $\log_{10}(\kappa_i)$. Values between -10 and 0 are colour-coded. (a) $k_{off} = k_d$; (b) $k_{off} = 0.001k_d$.

The following can be verified in this parametric regime: $-v_{11}\lambda_2(\kappa_d + \lambda_1) < 0$, $v_{12}\lambda_1(\kappa_d + \lambda_2) > 0$. Considering the analytic solution, it is likely for *r* to decrease first with a time scale determined by $|\lambda_1|$ and then recover with a time scale determined by $|\lambda_2|$ in the longer term, if $|\lambda_1|$ and $|\lambda_2|$ are sufficiently apart.

An example is discussed to illustrate these ideas by taking $k_{off} = k_d$ and $k_i \ll k_d$. This means the receptor degradation is as fast as target coverage and the drug overcomes a large energy barrier to covalently modify the receptor.

Suppose $k_{off} = k_d$ and $k_i = 0.001k_d$. Under this condition, receptor initially decreases as a 336 result of drug inhibition, and then recovers towards steady states (see Fig. 9 (c) and Fig. 9 (d)). 337 338 In the context of dose response curves, this means measurement taken before recovery in rwould make the drug appear more potent than the actual steady-state response. For $\kappa_{on} = 1$, r 339 is predicted to be smaller for $\tau = 1$ than for $\tau = 10,100,1000$ (Fig. 9 (a)). In addition, this 340 trend is consistent throughout κ_{on} values to a larger range (Fig. 9 (b)). Hence, the dose 341 response simulated for $\tau = 1$ (black dotted curve) appears to be more potent than any other 342 curves in Fig. 9 (a)-(b). 343



347

Fig. 9 Dose response curves, time response of *r* and *c* under $\kappa_i = 0.001$. 348

According to the heat map in Fig. 8 (a), higher κ_{on} leads to smaller λ_2 (the blue region in 349 Fig. 8 (a)), which makes recovery time in r being longer. To examine this observation, time 350 responses of r and c are simulated for $\kappa_{on} = 1$ and $\kappa_{on} = 10$, respectively, as shown in Fig. 9 351 (c) and (d). It can be seen that time response simulation at $\kappa_{on} = 10$ predicts an elongated 352 recovery period in r (Fig. 9 (d)) compared with that in $\kappa_{on} = 1$ (Fig. 9 (c)). This observation is 353 consistent with the separation of different dose response curves in Fig. 9 (a). 354

In slow drug process, the increase of complex concentration is monotonic over time, while 355 the receptor concentration first decreases in a short time and then increase towards a constant 356 level in a longer time. The numerical solutions for r and c at steady states shown in Fig. 9 (c) 357 and (d) are validated by the model-based analytical results in (10) and (11). 358

360 **5** Applications

Aberrant activity in Epidermal Growth Factor Receptor (EGFR) signaling has profound implications in different types of tumour. Recently, k_{off}/k_{on} and k_i are reliably quantified from cell-free assays for different irreversible EGFR mutant (EGFRm) inhibitors [20]. However, this study was not able to determine the actual values of $\kappa_{on} = k_{on}^{*} [afatinib]/k_{off}$ and k_{off} . Instead, k_{on}^{*} , that is $k_{on}/[drug]$ in our context, was assumed to be close to diffusion limit at 100µM⁻¹s⁻¹ in order to calculate values for k_{off} . The reported values are tabulated

367 below:

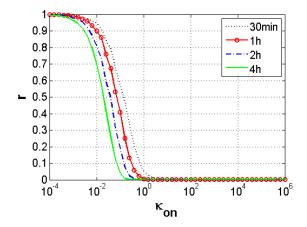
compound	k_{off}, s^{-1}	k_{i}, s^{-1}	Ki(nM)
CI-1033	0.19±0.04	0.011±0.0002	1.9±0.4
dacomitinib	1.1±0.1	0.0018±0.0001	10.7±0.9
afatinib	0.3±0.1	0.0024±0.0003	2.8±0.6
neratinib	0.2±0.1	0.0011±0.0002	2.4±0.5
CL-387785	18±4	0.002±0.0003	180±40
WZ-4002	23±5	0.0049±0.0015	230±50

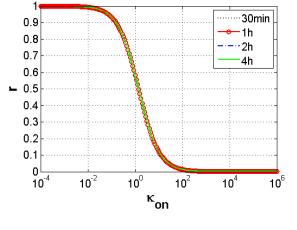
Table 1. Parameter values inferred from reaction progress curves measured for H1975 cells carrying L858R and T790M mutations in EGFR, using an ODE model. This table is reproduced from the supplementary information in [20]. The plus-or-minus values are standard deviations from averaging three replicated, entirely independent experiments. $K_i = k_{on}/k_{off}$.

We simulated the cell-free assay of afatinib by using the model in (9) by taking $\kappa_d = 0$. This predicts the IC₅₀ for κ_{on} at 30-minutes incubation has a mean value of 0.13 (i.e. assuming $k_i = 2.4 \times 10^{-3} \text{s}^{-1}$, $k_{off} = 0.3 \text{s}^{-1}$) (see Fig. 10 (a)). Since $\kappa_{on} = k_{on}^{*} [afatinib]/k_{off}$, afatinib's IC₅₀ at 30-minutes incubation is predicted to be 0.4nM. Considering different combinations of k_i and k_{off} values as reported in Table 1, afatinib's IC₅₀ at 30-minute incubation is predicted to be within the range of [0.27,0.6] nM. It is reported that the internalisation rate of EGFR receptor is approximately 0.2min⁻¹ in breast cancer cells [21]. Hence, $\kappa_d = k_d/k_{off} = 1.1 \times 10^{-2}$. Considering $\kappa_i = 8.0 \times 10^{-3}$, this is similar to the fast drug process parametric regime discussed in Section 3.3. Model simulation in Fig. 6 suggests dose response curves taken at $\tau = 1$ and $\tau = 100$ should be close.

Using model (12) to mimic *in vitro* cell assay conditions by taking $\kappa_d = 1.1 \times 10^{-2}$, simulated dose response curves at different incubation durations shift further to right (Fig. 10 (b)) compared with that of $\kappa_d = 0$ (Fig. 10 (a)). In Fig. 10 (b), with IC₅₀ for κ_{on} at 1-hour incubation at approximately 1.4, a 10-fold increase from the predicted protein-based assay (i.e. 0.13) is observed. Consistent with these simulation results, approximately 10-fold difference was reported for cell-based assay and protein-based assay for afatinib [21]

389 It can be seen from the above discussions that the simple model in (9) can be used 390 conveniently to generate insights into the connections and differences between protein-based 391 assay and cell-based assay.

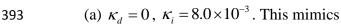




(b)
$$\kappa_d = 1.1 \times 10^{-2}$$
, $\kappa_i = 8.0 \times 10^{-3}$. This mimics the *in vitro* cell assay.

392

395



394the cell-free assay condition.

Fig. 10 Simulated dose response curves for *afatinib*.

396 **6. Conclusions and discussions**

397 At lead generation and optimization, it is important to understand the Mechanism Of 398 Action (MOA) of a chemical compound, as well as the Structure-Activity Relationship 399 (SAR), in the hope that ultimately a compound with sufficient therapeutic efficacy is taken 400 further for preclinical development. Reversibility of a compound is a crucial aspect of MOA 401 characterisation. This often remains unknown for compounds coming out of empirical 402 screening methods. Towards this goal, assays have been established to study inhibition 403 reversibility [22]. It is generally accepted that response to irreversible inhibitors are time-404 dependent. Hence, it is often taken for granted that time-independence indicates inhibition 405 reversibility. However, our model-based analysis refutes this claim.

406 We demonstrated iff inhibitor binding and dissociation processes are much quicker than receptor turnover, this system can be approximated by one concerning inhibition only, which 407 is equivalent to the protein-based assay. Based on the numerical simulation using a simple 408 model, it is observed that for protein-based assays, under certain parameter conditions, the 409 dose response curves can be very similar to each other (compare the middle curves in Fig. 2 410 411 (d)), given 1000-fold variation in incubation time. This indicates dose responses might appear time-invariant for a particular parameter setting. In practice, these data might not be 412 413 statistically different and can be erroneously taken as evidence of reversible inhibitor.

414 We subsequently analyzed the impact of cell parameters on dose response, including target synthesis and degradation, using the proposed model. Our ensuing analysis of the eigenvalues 415 provides a more general understanding. For dose response to appear time-invariant, the 416 apparent first-order association rate κ_{on} and the first-order covalent bond formation rate κ_i 417 need to be well separated so that the system has two very different time scales. In particular, 418 when a slowly-dissociating irreversible drug is applied to a receptor under fast turnover, dose 419 420 response may be highly similar to each other under a variety of incubation periods. Hence, it is inappropriate to conclude an inhibitor being reversible given time-independent dose 421 response, either based on protein-based assay or cell-based assay. 422

The main purpose of this analysis is to demonstrate the relationship between dose response 423 424 and parameter values in drug and cell processes. For the sake of simplicity, we only 425 considered a linear model in which each reaction follows first-order kinetics. In addition, we 426 did not consider biological regulation over synthesis, degradation and sub-cellular localisation of a receptor [20]. Results obtained in this paper are specific to the form of this 427 linear model. In reality, receptors are often regulated under different levels via feedback 428 429 mechanisms. This often necessitates mechanistic modelling of a biological pathway to aid in interpretation of in vitro cell assays. 430

431 It is evident from both numerical simulation and analytical study that the proposed model432 is globally asymptotically stable. For the fast drug process considering complex elimination,

433 the reduced model (12) is proposed. The receptor concentration decreases monotonically to its steady-state level of zero, while the complex concentration initially increases rapidly and 434 then decreases gradually to zero when the complex elimination is considered (see (13) for 435 steady-state calculation). When the complex elimination is negligible, the reduced model (19) 436 is used. The system will have non-zero steady states for both r and c following a conservation 437 law of $r_{ss} + c_{ss} = 1$ (see (21) for the explicit solution). For the slow drug process including 438 both reactions (1) and (2), the full model (9) is used to describe the dynamic system, and the 439 steady-states are explicitly represented by (10) and (11) for r and c, respectively. In this case, 440 the complex concentration increases monotonically over the whole process, but the receptor 441 concentration first decreases rapidly and then increases gradually on a slower time scale back 442 towards its steady state. The similar rebound behaviour in receptor was also observed and 443 444 discussed in other TMDD model-based studies [12; 14; 15].

For a drug discovery and development programme, the *in vitro* model should be used to identify parameter values from *in vitro* data. These parameters can be used subsequently to help identify the remaining parameter values in the *in vivo* model. This step-wise fitting may reduce uncertainty in parameter estimation. In this context, the *in vitro* model described in this paper improves the utility of TMDD models.

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